

STARBUCKS CORP

Form 3

February 06, 2014

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Maw Scott Harlan

(Last) (First) (Middle)

2401 UTAH AVE.
SOUTH, SUITE 800

(Street)

SEATTLE, WA 98134

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

02/03/2014

3. Issuer Name and Ticker or Trading Symbol
STARBUCKS CORP [SBUX]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
evp, chief financial officer6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

12,163 ⁽¹⁾

D

A

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date Exercisable

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)6. Nature of
Indirect Beneficial
Ownership
(Instr. 5)

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	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Non-qualified Stock Option (Right to Buy)	08/15/2012 ⁽²⁾	08/15/2021	Common Stock	11,720	\$ 38.42	D Â
Non-qualified Stock Option (Right to Buy)	11/19/2013 ⁽³⁾	11/19/2022	Common Stock	12,565	\$ 49.74	D Â
Non-qualified Stock Option (Right to Buy)	11/11/2014 ⁽⁴⁾	11/11/2023	Common Stock	23,134	\$ 80.99	D Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Maw Scott Harlan 2401 UTAH AVE. SOUTH SUITE 800 SEATTLE, WA 98134	Â	Â	Â evp, chief financial officer	Â

Signatures

/s/ Robert L. Villaseor, attorney-in-fact for Scott Maw 02/06/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents 3,904 Restricted Stock Units granted August 15, 2011 and scheduled to vest on August 15, 2015; and 8,259 Restricted Stock
- (1) Units granted November 15, 2012, 4,130 of which are scheduled to vest on November 15, 2014 and 4,129 of which are scheduled to vest on November 15, 2016.
- The option, representing the right to buy a total of 23,442 shares, became exercisable in two increments of 5,861 shares each on August
- (2) 15, 2012 and August 15, 2013, and will become exercisable in two increments of 5,860 shares each on August 15, 2014 and August 15, 2015.
- The option, representing the right to buy a total of 12,565 shares, became exercisable in one increment of 3,142 shares on November 19,
- (3) 2013, and will become exercisable in three increments of 3,141 shares each on November 19, 2014, November 19, 2015 and November 19, 2016.
- The option, representing the right to buy a total of 23,134 shares, will become exercisable in two increments of 5,784 shares each on
- (4) November 11, 2014 and November 11, 2015, and two increments of 5,783 shares each on November 11, 2016 and November 11, 2017.

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Remarks:

Exhibit 24 Power of Attorney attached to this filing.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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